

## IA Settings and Standard Fees\*

Fees As Of 6/18/2013

	<u>IA Settings</u>			<u>Registration/Notice Filing/ERA Fees</u>						<u>Branch Office Fees</u>				
	Set Notice filings to "Reviewed"?	Set Brochure filings to delivered?	Brochure Mandatory Date	Registration Fee	Registration Renewal Fee	Notice Filing Fee	Notice Filing Renewal Fee	ERA Fee	ERA Renewal Fee	IA Branch Office Fee	Branch Renewal Fee	Branch Mass Transfer Fee	Branch Mass Transfer Dual Fee	Form BR Amendment Fee
Alabama	No	No		250	250	250	250	0	0	0	0	0		
Alaska	Yes	Yes	12/1/2011	250	250	250	250	250	0	0	0	0		
Arizona	Yes	Yes		250	250	250	250	0	0			0		
Arkansas	No	Yes	5/28/2013	300	300	300	300	0	0	50	50	50	100	
California	No	Yes	2/14/2008	125	125	125	125	125	125			0		
Colorado	Yes	Yes		80	80	80	80	0	0					
Connecticut	Yes	Yes	5/9/2013	315	190	250	150	0	0	100	0	100		100
Delaware	No	Yes		300	300	300	300	300	300			0		
District of Columbia	Yes	No		250	250	250	250	250	250					
Florida	No	No		200	200	200	200	0	0	100	100			
Georgia	Yes	Yes		250	100	250	100	0	0					
Hawaii	Yes	Yes		50	50	50	50	0	0	0	0	0		
Idaho	Yes	No	5/9/2013	150	150	30	30	0	0	0	0			
Illinois	No	Yes		400	400	400	400	0	0	0	0			
Indiana	Yes	Yes		100	50	50	50	0	0					
Iowa	Yes	Yes	5/13/2013	100	100	100	100	0	0			0		
Kansas	No	Yes	5/16/2013	100	100	100	100	0	0	0	0	0		
Kentucky	Yes	No		100	100	100	100	0	0					
Louisiana	No	Yes	5/9/2013	150	150	150	150	0	0					
Maine	Yes	Yes	12/22/2010	200	200	200	200	0	0	50	30	50	50	
Maryland	No	No	4/4/2013	300	300	300	300	0	0			0	0	
Massachusetts	No	Yes		300	300	300	300	300	300			0		
Michigan	Yes	Yes	5/12/2011	200	200	200	200	200	0			0	0	0
Minnesota	Yes	Yes		100	100	100	100	0	0					
Mississippi	Yes	Yes		200	200	200	200	0	0					
Missouri	Yes	Yes	5/29/2013	200	100	200	100	0	0			0		
Montana	Yes	No		200	200	200	200	0	0			0		
Nebraska	No	Yes	5/13/2013	200	200	200	200	200	200			0		
Nevada	Yes	Yes	6/13/2013	300	300	300	300	0	0					0
New Hampshire	No	Yes	5/9/2013	250	200	250	200	0	0			0		
New Jersey	No	Yes	5/13/2013	200	200	200	200	200	200			0		
New Mexico	No	Yes	2/3/2010	300	300	300	300	300	0	0	0	0		
New York	Yes	No	3/31/2011	200	200	200	200	0	0			0		
North Carolina	Yes	Yes		300	300	300	300	0	0					
North Dakota	Yes	Yes	5/16/2013	100	100	100	100	0	0			0		
Ohio	Yes	Yes	1/15/2009	100	100	100	100	0	0	0	0			
Oklahoma	No	No		300	300	300	300	0	0			0		
Oregon	Yes	No		200	200	200	200	0	0					
Pennsylvania	Yes	No		350	350	350	350	0	0			0		
Puerto Rico	Yes	Yes		500	500	500	500	500	0			0		
Rhode Island	Yes	Yes		300	300	300	300	300	300			0		0
South Carolina	Yes	Yes		210	210	210	210	0	0			0		
South Dakota	No	No	5/9/2013	100	100	200	200	0	0	0	0	0		
Tennessee	No	Yes	6/13/2013	200	200	100	100	0	0	0	0			
Texas	No	Yes	5/16/2013	275	270	275	270	0	0	25	25	25	25	25
Utah	Yes	No	5/9/2013	50	50	100	100	0	0					